

IN THE UNITED STATES OF AMERICA
PATENT AND TRADEMARK OFFICE

APPLICANT: Broshears

TITLE: TRACH SENSORY ALERT SYSTEM

ATTORNEY DOCKET NO.: 00-08377

COMMISSIONER OF PATENTS AND TRADEMARKS
WASHINGTON, D.C. 20231

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of

Inventor(s): Loretta S. Broshears

for TRACH SENSORY ALERT SYSTEM

This new application is for a(n)

- ☒ Original Utility
- ☐ Design
- ☐ Plant
- ☐ Divisional
- ☐ Continuation
- ☐ Continuation-in-part

Enclosed are:

- 5 Pages of specification
- 1 Page of claims
- 1 Page of abstract
- 1 Sheet of drawings
 - ☐ formal
 - ☒ informal

Additional papers enclosed:

- ☐ Information Disclosure Statement
- ☒ Combined Declaration and Power of Attorney
- ☐ Assignment of the invention to _____

The inventorship for all the claims in this application are:

- ☒ the same
- ☐ are not the same. An explanation, including the ownership of the various claims at the time the last claimed invention was made,
 - ☐ is submitted
 - ☐ will be submitted

CLAIMS AS FILED

BASIC FEE
(\$740.00)

| | | | | | |
|---------------------------|---|---------|---|----------|---------------|
| Total Claims | 1 | -20 = 0 | X | \$18.00 | 0.00 |
| Independent Claims | 1 | -3= 0 | X | \$84.00 | 0.00 |
| Multiple dependent Claims | | 0 | X | \$280.00 | 0.00 |
| Total Filing Fee | | | | \$ | <u>740.00</u> |

☒ Verified Statement(s) that this is a filing by a small entity under 37 CFR 1.9 and 1.27 is(are) attached.

Filing Fee Calculation (50% of above) \$ 370.00

Fee Payment Being Made At This Time:

☐ Not Enclosed
☒ Enclosed
☒ Basic filing fee \$ 370.00
☐ Assignment \$ _____

Method of Payment of Fees:


☒ Check in the amount of \$ 370.00
☐ Charge Account No. _____ in the amount of _____

In Case of Overpayment:

☒ refund

Reg. No.: 36,462

Tel. No. (225) 769-8500



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CERTIFICATE OF MAILING


I hereby certify that this paper (along with any paper attached hereto or enclosed herewith) is being deposited on the date shown below with the U.S. Postal Service in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

[] 37 CFR 1.8(a) with sufficient postage as first class mail;

[X] 37 CFR 01.10 as "Express Mail Post Office to Addressee"
Mailing Label No. EL040450127US

- 1) New Application Transmittal;
- 2) Verified Statement Claiming
Small Entity Status
- 3) Combined Declaration and Power
Of Attorney;
- 4) Request and Certification Under
35 U.S.C. 122(b)(2)(B)(i);
- 5) 6 pages of Specification and Claims;
- 6) 1 page of Drawings;
- 7) 1 page Abstract; and
- 8) Check in the amount of \$370.00.

Date October 23, 2001


JOSEPH N. BREAU

FILED OCT 24 2001

ELO40450127US

IN THE UNITED STATES OF AMERICA
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APPLICANT: Broshears

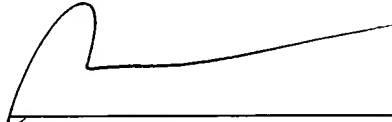
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**REQUEST AND CERTIFICATION UNDER 35 U.S.C.
122 (b) (2) (B) (I)**

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

October 23, 2001
DATE



SIGNATURE

JOSEPH N. BREAU

TYPED OR PRINTED NAME
ATTORNEY FOR APPLICANT

100547 24500T